

INTRL-GA 1731 003
War and Peace
Spring 2018
Mon 9.30am-12pm, WAVE 433

How do actors and institutions influence states' decisions for war or peace? Which actors and institutions matter more, those located at the domestic or international level? This course aims to familiarize students with the major paradigms in international relations and the actors and institutions highlighted in those paradigms as being particularly consequential for states' security policies. Sessions will be comprised of student-led presentations that summarize the material we have read and generate discussion of the research designs, findings, and methodologies employed therein, as well as their application to contemporary security policy challenges. The course aims to equip students with the necessary tools to conduct research on foreign security policy, complete a final research paper, and deliver a presentation to the class summarizing their findings.

Instructor: Professor Amy Catalinac

Email: amy.catalinac@nyu.edu

Office: 19 West 4th St, Office 315.

Office Hours: Tues 2-4pm.

Course Requirements:

1. Participation (20%)

This course depends on your participation. You are expected to read the assigned material each week and come prepared to discuss the research questions, research designs, findings, and methodologies contained within and their relevance for contemporary security policy challenges.

2. Presentation on Assigned Material (20%)

Beginning in the second session, each session will include one or more student-led presentations on the assigned material. The schedule of presentations and material to be covered in each presentation will be decided in or immediately after the first session (January 22). If you cannot attend the first session, please email me. Your task is to summarize the material assigned to you, raise questions for discussion, and where possible, connect it to current events in world politics. You are expected to prepare slides that will accompany your presentation, and send them to me prior to delivering it. You will be graded on your ability to deliver a clear, concise, and visually-appealing presentation, which generates discussion.

3. Final Research Paper (60%)

For the final research paper, your task is to think of a research question related to the foreign security policy of a state or group of states. The question should identify a puzzle, of which

the answer is not obvious. Your task is to offer an answer to this question, perhaps taken from the variables we study in the course but perhaps not, and provide evidence, gleaned from as many sources as possible, that your answer is correct. You are also expected to come up with other possible answers to your question and gather evidence to evaluate those. You will be graded on your ability to identify a research question, construct an answer to that question, and provide evidence in support of your answer. To help you with this research paper, you are expected to complete the following tasks:

- **Research Prospectus (10%)**

This will describe the research question you are planning to focus on in your final paper and why it matters, offer several possible answers to this question, and suggest a plan for evaluating those answers. It must contain a review of the academic literature that is relevant to your question and what this literature has concluded about your question. It must be no less than five double-spaced pages and should be submitted in pdf form. This provides me with a valuable opportunity to provide feedback in your writing of your final paper. This is due to me via email on **March 30 at 5pm**.

- **Research Presentation (10%)**

The final session (on **April 30**) will be comprised of student presentations summarizing the research questions tackled and main findings of the final research papers. Other students will be expected to comment on their classmates' research.

- **Final Research Paper (40%)**

The final paper should be between 20-25 double-spaced pages, and should include references to secondary sources such as academic journal articles and books pertaining to your subject area, as well as primary sources, such as newspaper articles, policy statements, or blogs. It needs to be submitted to me via email in pdf format **before 5pm on May 11**. Please consult NYU's [Citation Style Guide](#) for information about referencing. Either citation style is fine, as long as you are consistent.

Grade Breakdown:

Participation	20%
Presentation(s) on Assigned Material	20%
Research Prospectus	10%
Final Paper Presentation	10%
Final Research Paper	40%

Required Texts: There are no required textbooks for this course.

Assigned Readings: The assigned readings for each week are available through the course website. Supplementary material for each session is also noted. This is only for your reference and is not required. There may be minor adjustments to the assigned readings as the course progresses, which will be communicated well in advance.

Policy on Collaboration: You are encouraged to discuss with one another your approach to the presentations, final paper, and other course requirements, but you must ensure that any material submitted to meet the requirements of the course (including your presentation slides; research prospectus; research presentation; and final research paper) is your own work.

Accommodation for Students with Disabilities: Students needing academic adjustments or accommodations because of a documented disability should speak with the professor as soon as possible (and preferably by the end of the second week of the semester). Failure to do so may result in the instructor's inability to respond in a timely manner.

Important Dates:

Presentation on Assigned Material (to be scheduled).
Research Prospectus DueMarch 30 (at 5pm)
Final Paper PresentationApril 30
Final Research Paper DueMay 11 (at 5pm)

COURSE OUTLINE

Jan 22: Theoretical and Empirical Tools in the Study of War and Peace

Stephen Walt. International Relations: One World, Many Theories. *Foreign Policy*, Spring:29–46, 1998.

Paul M. Kellstedt and Guy D. Whitten. *The Fundamentals of Political Science Research*, chapter 1, pages 1–21. Cambridge University Press, Cambridge, United Kingdom, 2009.

For Reference:

Walter Carlsnaes. Foreign Policy. In Thomas Risse Walter Carlsnaes and Beth A. Simmons, editors, *Handbook of International Relations*, pages 331–349. London.

Gary King, Robert O. Keohane, and Sidney Verba. *Designing Social Inquiry: Scientific Inference in Qualitative Research*, chapter 1, pages 3–33. Princeton University Press, Princeton, N. J., 1994.

Jan 29: Actor: The State.

Thomas J. Christensen. China, the U.S.-Japan Alliance, and the Security Dilemma in East Asia. *International Security*, 23(4):49–80, Spring 1999.

Victor D. Cha. Abandonment, Entrapment, and Neoclassical Realism in Asia: The United States, Japan, and Korea. *International Studies Quarterly*, 44(2):261–291, 2000.

Deborah Welch Larson and Alexei Shevchenko. Status Seekers: Chinese and Russian Responses to U.S. Primacy. *International Security*, 34(4):63–95, Spring 2010.

For Reference:

M. Taylor Fravel. Power Shifts and Escalation: Explaining Chinas Use of Force in Territorial Disputes. *International Security*, 32(3):44–83, 2007.

Jennifer Lind. Pacifism or Passing the Buck? Testing Theories of Japanese Security Policy. *International Security*, 29(1):92–121, 2004.

Feb 5: Actor: Leaders.

Elizabeth N. Saunders. Transformative Choices: Leaders and the Origins of Intervention Strategy. *International Security*, 34(2):119–161, 2009.

Douglas C. Foyle. Public Opinion and Foreign Policy: Elite Beliefs as a Mediating Variable. *International Studies Quarterly*, 41(1):141–170, 1997.

Jacques Hymans. *The Psychology of Nuclear Proliferation*, chapter 2, pages 16–46. Cambridge University Press, Cambridge, United Kingdom, 2006.

For Reference:

Daniel L. Byman and Kenneth M. Pollack. Let Us Now Praise Great Men (and Women): Restoring the First Image? *International Security*, 25(4):107–147, Spring 2001.

Jonathan W. Keller. Leadership Style, Regime Type, and Foreign Policy Crisis Behavior: A Contingent Monadic Peace? *International Studies Quarterly*, 49:205–231, 2005.

Andrew Bingham Kennedy. *The International Ambitions of Mao and Nehru: National Efficacy Beliefs and the Making of Foreign Policy*, chapter 2. Cambridge University Press, New York, 2012.

Brian C. Rathbun. Hierarchy and Community at Home and Abroad: Evidence of a Common Structure of Domestic and Foreign Policy Beliefs in American Elites. *Journal of Conflict Resolution*, 51(3):379–407, 2007.

Feb 12: Institution: Democracy (I)

John M. Owen. How Liberalism Produces Democratic Peace. *International Security*, 19(2):87–125, 1994.

James Fearon. Domestic Political Audiences and the Escalation of International Disputes. *American Political Science Review*, 88(3):577–592, 1994.

Kenneth Schultz. Do Democratic Institutions Constrain or Inform?: Contrasting Two Institutional Perspectives on Democracy and War. *International Organization*, 53:233–266, 1999.

Feb 19: NO CLASS (President's Day).

Feb 26: Institution: Democracy (II)

Bruce Bueno de Mesquita, James D. Morrow, Randolph Siverson, and Alastair Smith. An Institutional Explanation of the Democratic Peace. *American Political Science Review*, 93:791–807, December 1999.

Michael R. Tomz and Jessica L. P. Weeks. Public Opinion and the Democratic Peace. *American Political Science Review*, 107(4):849–865, 2013.

Joshua D. Kertzer and Ryan Brutger. Decomposing audience costs: Bringing the audience back into audience cost theory. *American Journal of Political Science*, 60(1):234–249, 2016.

Mar 5: Institution: Autocracy.

Jessica L. Weeks. Autocratic Audience Costs: Regime Type and Signaling Resolve. *International Organization*, 62(1):35–64, 2008.

Jessica Chen Weiss. Authoritarian Signaling, Mass Audiences, and Nationalist Protest in China. *International Organization*, 67(1):1–35, 2013.

Christopher Way and Jessica L. P. Weeks. Making It Personal: Regime Type and Nuclear Proliferation. *American Journal of Political Science*, 58(3):705–719, 2014.

Mar 12: NO CLASS (spring break).

Mar 19: NO CLASS (please work on your prospectuses)

March 26: Actor: The Media.

Matthew A. Baum. Circling the Wagons: Soft news and Isolationism in American Public Opinion. *International Studies Quarterly*, 48:313–338, 2004.

Danny Hayes and Matt Guardino. The influence of foreign voices on u.s. public opinion. *American Journal of Political Science*, 55(4):830–850, 2011.

Matthew A. Baum. The Iraq Coalition of the Willing and (Politically) Able: How Party Systems, the Press and Public Influence on Foreign Policy. *American Journal of Political Science*, 0(0):1–17, 2012.

For Reference:

Scott L. Althaus and Devon M. Largio. When Osama Became Saddam: Origins and Consequences of the Change in America’s Public Enemy Number 1. *PS. Political Science and Politics*, 4:795–799, 2004.

Apr 2: Institution: Identity and Culture.

Christopher M. Hemmer and Peter J. Katzenstein. Why Is There No NATO in Asia? Collective Identity, Regionalism, and the Origins of Multilateralism. *International Organization*, 56(3):575–607, 2002.

Thomas U. Berger. Norms, Identity, and National Security in Germany and Japan. In Peter J. Katzenstein, editor, *The Culture of National Security: Norms and Identity in World Politics*, pages 317–356. Columbia University Press, New York, 1996.

Jarrod Hayes. Securitization, Social Identity, and Democratic Security: Nixon, India, and the Ties That Bind. *International Organization*, 66:63–93, 2012.

For Reference:

Alastair Iain Johnston. Cultural Realism and Strategy in Maoist China. In Peter J. Katzenstein, editor, *The Culture of National Security: Norms and Identity in World Politics*, pages 216–268. Columbia University Press, New York, 1996.

Rawi Abdelal, Yoshiko Herrera, Alastair Iain Johnston, and Rose McDermott. Identity as a Variable. *Perspectives on Politics*, 4(4):695–711, 2006.

Donald Kinder and Cindy Kam. *Us Against Them*, chapter 4, pages 73–104. Chicago University Press, Chicago, Il., 2010.

Apr 9: Actor: The Military.

Eric Heginbotham. The Fall and Rise of Navies in East Asia: Military Organizations, Domestic Politics and Grand Strategy. *International Security*, 27(2):86–125, 2002.

Michael C. Horowitz and Allan C. Stam. How Prior Military Experience Influences the Future Militarized Behavior of Leaders. *International Organization*, 68(3):527–529, 2014.

Vipin Narang. Posturing for Peace? Pakistan’s Nuclear Postures and South Asian Stability. *International Security*, 34(3):38–78, 2009.

For Reference:

Vipin Narang and Caitlin Talmadge. Civil-military pathologies and defeat in war: Tests using new data. *Journal of Conflict Resolution*, 0(0):1–27, 2017.

Jeffery Legro. Military Culture and Inadvertant Escalation in World War II. *International Security*, Spring 1994.

Stephen Van Evera. The Cult of the Offensive and the Origins of the First World War. *International Security*, pages 58–107, Summer 1984.

Apr 16: Actor: Sub-national and Supra-national.

Nina Tannenwald. The Nuclear Taboo: The United States and the Normative Basis of Nuclear Non-Use. *International Organization*, 53(3):433–468, Summer 1999.

Amitav Acharya. How Ideas Spread: Whose Norms Matter? Norm Localization and Institutional Change in Asian Regionalism. *International Organization*, 58(2):239–275, Spring 2004.

Valerie M. Hudson and Andrea Den Boer. A Surplus of Males: A Deficit of Peace: Security and Sex Ratios in Asia’s Largest States. *International Security*, 26(4):5–38, 2002.

For Reference:

Emmanuel Adler. The emergence of cooperation: national epistemic communities and the international evolution of the idea of nuclear arms control. *International Organization*, 46(1):101–146, 1992.

Apr 23: Institution: Domestic Political.

William G. Howell and Jon C. Pevehouse. Presidents, Congress, and the Use of Force. *International Organization*, 59(1):209–232, 2005.

Amy Catalinac. *Electoral Reform and National Security in Japan: From Pork to Foreign Policy*. Cambridge University Press, New York, New York, 2016. chapter 1.

Jacques E.C. Hymans. Veto Players, Nuclear Energy, and Nonproliferation. *International Security*, 36(2):154–189, 2011.

For Reference:

Phillip K. B. Potter and Matthew A. Baum. Looking for Audience Costs in all the Wrong Places: Electoral Institutions, Media Access, and Democratic Constraint. *Journal of Politics*, 76(1):167–181, 2014.

Juliet Kaarbo and Ryan K. Beasley. Taking It to the Extreme: The Effect of Coalition Cabinets on Foreign Policy. *Foreign Policy Analysis*, 4(1):67–81, January 2008.

April 30: Actor: The Public.

Joshua D. Kertzer and Thomas Zeitzoff. A bottom-up theory of public opinion about foreign policy. *American Journal of Political Science*, 61(3):543–558, 2017.

John H. Aldrich, John L. Sullivan, and Eugene Borgida. Foreign Affairs and Issue Voting: Do Presidential Candidates "Waltz Before A Blind Audience?" *American Political Science Review*, 83(1):123–141, 1989.

Benjamin E. Goldsmith and Yusaku Horiuchi. In Search of Soft Power: Does Foreign Public Opinion Matter for US Foreign Policy? *World Politics*, 64(3):555–585, 2012.

For Reference:

Thomas Risse-Kappen. Public Opinion, Domestic Structure, and Foreign Policy in Liberal Democracies. *World Politics*, 43(4):479–512, 1991.

May 7: Student Presentations on Final Research Papers.
